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Non-Random Codes in Code-Based Cryptography

 $\frac{\text{Sebastian Bitzer}}{\text{TUM}}$



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Coding and Cryptography (COD)

















Notations & Definitions

•
$$\mathcal{C} = \{ \boldsymbol{m}\boldsymbol{G} \mid \boldsymbol{m} \in \mathbb{F}^k \} = \{ \boldsymbol{c} \mid \boldsymbol{c}\boldsymbol{H}^{\mathsf{T}} = \boldsymbol{0} \} \subset \mathbb{F}^n$$

- Generator matrix $\boldsymbol{G} \in \mathbb{F}^{k \times n}$
- Parity-check matrix $\boldsymbol{H} \in \mathbb{F}^{(n-k) imes n}$





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— 75 Years of Coding ——

RS, Goppa, polar, convolutional, \ldots codes

→ structure allows efficient decoding







Coded computation, post-quantum cryptography, DNA storage, network coding







Coded computation, post-quantum cryptography, DNA storage, network coding

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Code-based Cryptography

- Decoding Problem -

 $\begin{array}{ll} \mbox{Given:} \ \boldsymbol{y} \in \mathbb{F}^n \ \mbox{and} \ \boldsymbol{G} \in \mathbb{F}^{k \times n} \\ \mbox{Find:} \ \ \boldsymbol{m} \in \mathbb{F}^k \ \mbox{s.t.} \ \ \boldsymbol{y} = \boldsymbol{m} \boldsymbol{G} + \boldsymbol{e} \ \mbox{with} \ |\boldsymbol{e}| \leq t \end{array}$

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Code-based Cryptography

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Syndrome Decoding Problem –

 $\begin{array}{ll} \mbox{Given:} \ s \in \mathbb{F}^{n-k} \ \mbox{and} \ \ H \in \mathbb{F}^{(n-k) \times n} \\ \mbox{Find:} \ \ e \in \mathbb{F}^n \ \ \mbox{s.t.} \ \ e H^\intercal = s \ \ \mbox{and} \ \ |e| \leq t \end{array}$

Code-based Cryptography

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Decoding Problem -

Given: $y \in \mathbb{F}^n$ and $G \in \mathbb{F}^{k \times n}$ Find: $m \in \mathbb{F}^k$ s.t. y = mG + e with $|e| \le t$

- Syndrome Decoding Problem -

 $\begin{array}{ll} \mbox{Given:} \ s \in \mathbb{F}^{n-k} \ \mbox{and} \ \ H \in \mathbb{F}^{(n-k) \times n} \\ \mbox{Find:} \ \ e \in \mathbb{F}^n \ \ \mbox{s.t.} \ \ e H^\intercal = s \ \ \mbox{and} \ \ |e| \leq t \end{array}$



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Bob



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Code-based Cryptography

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Public-Key Encryption à la McEliece







message $oldsymbol{m} \in \mathbb{F}^k$

Public-Key Encryption à la McEliece







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Public-Key Encryption à la McEliece



sk: C, C.DEC corrects t errors

pk: Generic $\boldsymbol{G} \in \mathbb{F}^{k \times n}$ of \mathcal{C}





message	m	e	\mathbb{F}^k
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message $oldsymbol{m} \in \mathbb{F}^k$
$e \in \mathbb{F}^n$ with $ e \le t$





sk: C, C.DEC corrects t errors

Alice

pk: Generic $\boldsymbol{G} \in \mathbb{F}^{k \times n}$ of \mathcal{C}





$$\hat{m} \leftarrow \mathcal{C}.\mathsf{DEC}(y)$$

ct: y

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 $y \leftarrow mG + e \in \mathbb{F}^n$

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Weger, V., et al. (2022). A survey on code-based cryptography. *Lect. Notes Math.*





Weger, V., et al. (2022). A survey on code-based cryptography. *Lect. Notes Math.*



Goppa codes proposed in 1978

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A Brief History of McEliece

Weger, V., et al. (2022). A survey on code-based cryptography. Lect. Notes Math.



GRS codes proposed in 1986

A Brief History of McEliece

Weger, V., et al. (2022). A survey on code-based cryptography. *Lect. Notes Math.*



GRS codes proposed in 1986, broken in 1992



Weger, V., et al. (2022). A survey on code-based cryptography. *Lect. Notes Math.*



Gabidulin codes proposed in 1991



Weger, V., et al. (2022). A survey on code-based cryptography. *Lect. Notes Math.*



Gabidulin codes proposed in 1991, broken in 2008



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Reed-Muller codes proposed in 1994



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Reed-Muller codes proposed in 1994, broken in 2007

Weger, V., et al. (2022). A survey on code-based cryptography. Lect. Notes Math.



AG codes proposed in 1996



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AG codes proposed in 1996, broken in 2014



Weger, V., et al. (2022). A survey on code-based cryptography. Lect. Notes Math.



LDPC codes proposed in 2000



Weger, V., et al. (2022). A survey on code-based cryptography. *Lect. Notes Math.*



LDPC codes proposed in 2000, modifications required

Weger, V., et al. (2022). A survey on code-based cryptography. Lect. Notes Math.



Convolutional codes proposed in 2012

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Weger, V., et al. (2022). A survey on code-based cryptography. Lect. Notes Math.



Convolutional codes proposed in 2012, broken in 2013



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Weger, V., et al. (2022). A survey on code-based cryptography. Lect. Notes Math.



Polar codes proposed in 2014

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Weger, V., et al. (2022). A survey on code-based cryptography. Lect. Notes Math.



Polar codes proposed in 2014, broken in 2018

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A Fresh Idea

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McEliece's Idea

Efficient decoder but not leaked by G



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A Fresh Idea





McEliece's Idea

Efficient decoder but not leaked by G

Aguilar-Melchor, C., et al. (2017).Hamming quasi-cyclic (HQC). *NIST PQC Competition*

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A Fresh Idea





Efficient decoder but not leaked by G

HQC Idea ·

- Structured code (RS+RM)
- Public decoder
- · Secret key reduces error weight



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Put a Ring on It

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 \mathbb{F}^n

 $\boldsymbol{v} = (v_0, \dots, v_{n-1})$

 $\mathcal{R}_n \coloneqq \mathbb{F}[x] / (x^n - 1)$ $v(x) = \sum_{i=0}^{n-1} v_i x^i$

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Put a Ring on It



 \mathbb{F}^n $\boldsymbol{v} = (v_0, \dots, v_{n-1})$

- Syndrome Decoding Problem

Given: $s \in \mathbb{F}^{n-k}$ and $H \in \mathbb{F}^{(n-k) \times n}$ Find: $e \in \mathbb{F}^n$ s.t. $eH^{\top} = s$ and $|e| \le t$ $\mathcal{R}_n \coloneqq \mathbb{F}[x]/(x^n - 1)$ $v(x) = \sum_{i=0}^{n-1} v_i x^i$

— Quasi-Cyclic (QC) SDP —

Given: $s \in \mathcal{R}_n$ and $h \in \mathcal{R}_n$ Find: e_1, e_2 s.t. $e_1 + e_2h = s$ and $|e_1| + |e_2| \le t$

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HQC in a Nutshell



$$\mathcal{R}_n = \mathbb{F}[x]/(x^n - 1)$$



message $oldsymbol{m} \in \mathbb{F}^k$

HQC in a Nutshell



 $\mathcal{R}_n = \mathbb{F}[x]/(x^n - 1)$



message $oldsymbol{m} \in \mathbb{F}^k$

sk: $\boldsymbol{u}_1, \boldsymbol{u}_2 \in \mathcal{R}_n$ of wt w_u

 $\boldsymbol{h} \in \mathcal{R}_n$

pk: $s \leftarrow u_1 + hu_2$

pk: (h,s)

HQC in a Nutshell

	$\mathcal{R}_n = \mathbb{F}[x]/(x^n - 1)$	
(Alice)		<u>/ Bob \</u>
$oldsymbol{h}\in\mathcal{R}_n$		message $oldsymbol{m} \in \mathbb{F}^k$
sk: $\boldsymbol{u}_1, \boldsymbol{u}_2 \in \mathcal{R}_n$ of wt w_u		
pk: $s \leftarrow u_1 + hu_2$	pk: (<i>h</i> , <i>s</i>)	$oldsymbol{r}_1,oldsymbol{r}_2,oldsymbol{r}_3\in\mathcal{R}_n$ of wt w_r
		$y_1 \leftarrow mG + sr_2 + r_3$
	$ \overset{ct:}{\bullet} (\boldsymbol{y}_1, \boldsymbol{y}_2) $	$oldsymbol{y}_2 \leftarrow oldsymbol{r}_1 + oldsymbol{h} oldsymbol{r}_2$

HQC in a Nutshell

\bigcirc	$\mathcal{R}_n = \mathbb{F}[x]/(x^n - 1)$	\bigcirc
Alice		Bob
$oldsymbol{h}\in\mathcal{R}_n$		message $oldsymbol{m} \in \mathbb{F}^k$
sk: $\boldsymbol{u}_1, \boldsymbol{u}_2 \in \mathcal{R}_n$ of wt w_u		
pk: $s \leftarrow u_1 + hu_2$	pk: (<i>h</i> , <i>s</i>)	$oldsymbol{r}_1,oldsymbol{r}_2,oldsymbol{r}_3\in\mathcal{R}_n$ of wt w_r
		$y_1 \leftarrow mG + sr_2 + r_3$
$\hat{\boldsymbol{m}} \leftarrow \mathcal{C}.DEC(\boldsymbol{y}_1 - \boldsymbol{y}_2 \boldsymbol{u}_2)$	\leftarrow ct: $(\boldsymbol{y}_1, \boldsymbol{y}_2)$	$oldsymbol{y}_2 \leftarrow oldsymbol{r}_1 + oldsymbol{h} oldsymbol{r}_2$

HQC in a Nutshell





$$C$$
 needs to decode $y_1 - y_2 u_2 = c + \underbrace{u_1 r_2 + u_2 r_1 + r_3}_{\text{error } e}$

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Decryption Failure Is Not an Option



Security Issues –

- IND-CCA security
- Reaction attacks

Decryption Failure Is Not an Option

- Security Issues —
- IND-CCA security
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ct: $(oldsymbol{y}_1,oldsymbol{y}_2)$



IND-CCA security Reaction attacks

Security Issues -



Decryption Failure Is Not an Option





Decryption Failure Is Not an Option







Reaction attacks



Decryption Failure Is Not an Option



Guo, Q., & Johansson, T. (2020). A new decryption failure attack against HQC.

→ DFR needs to be $\leq 2^{-128}$

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A First Look at the Error



P(|e| = w) difficult for $e = u_1r_2 + u_2r_1 + r_3$ $\rho = P(e_i = 1)$ simple

A First Look at the Error

$$P(|e| = w)$$
 difficult for $e = u_1r_2 + u_2r_1 + r_3$
 $\rho = P(e_i = 1)$ simple

BSC Approximation -

Under the independence assumption,

$$P(|\boldsymbol{e}| = w) \approx {n \choose w} \rho^w (1 - \rho)^{n-w}.$$

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Refined Approximation
 Heuristic for weight after multiplication



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Beyond the BSC





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Beyond the BSC





Beyond the BSC





Beyond the BSC





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How Much Can Be Gained?



Loeliger, H.-A. (1994).On the basic averaging arguments for linear codes. *Comm. and Crypto.*

$$\mathcal{E} = \{ e \mid e = u_1 r_2 + u_2 r_1 + r_3 \}$$
$$\Delta \mathcal{E} = \{ e - e' \mid e, e' \in \mathcal{E} \}$$

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 $\bigcirc GV\text{-like Bound} \\ n \leq k + \log_q(|\Delta \mathcal{E}|)$



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GV-like Bound $n \leq k + \log_q(|\Delta \mathcal{E}|)$ Here: $|\Delta \mathcal{E}| \leq w_r^3 {n \choose 2w_r}^3 {n \choose w_u}^2$



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	length	error model	decoder
HQC	17669	BSC	multistage



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SPB	≥ 13438	BSC	ML



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	length	error model	decoder
HQC	17669	BSC	multistage
SPB	≥ 13438	BSC	ML
GVB	≤ 3800	structured	???



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	length	error model	decoder
HQC	17669	BSC	multistage
SPB	≥ 13438	BSC	ML
GVB	≤ 3800	structured	???

- O DFR, no heuristics
- etter parameters
- () explicit code needed
- ① efficient decoder needed
Conclusion

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Non-random codes in code-based cryptography:

- OMELIECE has strong code requirements
- HQC allows public decoder
- Error structure of HQC



Conclusion

Non-random codes in code-based cryptography:

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- Error structure of HQC

Research questions:

- ? Are Goppa codes secure?
- ⑦ Efficient codes for HQC?
- ⑦ HQC in Hamming and rank metric sum-rank HQC?
- ⑦ More lattice-based inspiration?



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Conclusion

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Thank you! Questions?

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